UTILITY PATENT APPLICATION TRANSMITTAL

First Inventor or Application Identifier

ENHANCEMENT

Guoging Chen

October 15, 2003

STRUCTURE AND METHOD FOR TRANSVERSE FIELD

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Express Mail Label No.

Attorney Docket No.

EL 990358694 US

500990.03 (30013/US/2)

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Mail Stop Patent Application Commissioner for Patents

see will be chapter ood concerning dainly patent approaches contents.	Alexandria, VA 22313-1450
Fee Transmittal Sheet (+ copy) (Submit an original and a duplicate for fee processing)	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. Applicant(s) Claim(s) Small Entity Status	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
Specification [Total Pages] (preferred arrangement set forth below) 27	a. Computer-Readable Form (CRF)
 Descriptive Title of the Invention Cross References to Related Applications Statement Regarding Fed sponsored R & D Reference to Microfiche Appendix 	b. Specification Sequence Listing on: i. [] CD-ROM or CD-R (2 copies); or ii. [] paper c. Statement verifying identity of above copies
Background of the Invention Brief Summary of the Invention	ACCOMPANYING APPLICATION PARTS
Brief Description of the Drawings (if filed) Detailed Description	9. Certification Under 35 U.S.C. 122(b)(2)(B)(i)
 Claim(s) Abstract of the Disclosure 	10. Assignment Papers (cover sheet & document(s))
1. X Drawing(s) (35 USC 113) [Total Sheets] 13	11. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney
Oath or Declaration Total Pages] 1	12 English Translation Document (if applicable)

13.

a.	Newly executed (original or copy)	

Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

X	Application	Data Sheet	(37 (J.F.R. 1.7	6)
	!				

Preliminary Amendment

Total Pages 9

4.	X	Return Receipt Postcard
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15	V	Information Disclosure
15.	X	Information Disclosure Statement (IDS)/PTO-1449

	Copies of IDS	Citations
19		

6.	Certified Copy of Priority Docume (if foreign priority is claimed)	nt(

17.	X	Other: _	Certificate of Express Mail
			Check: Copy of Flect & POA

18.	If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

Continuation	X Divisional	Continuation-In-Part (CIP)	of prior Application No.: 10/251,340	Filed September 19, 2002
Prior application info	mation: Examiner	Douglas M. Menz	Group / Art Unit _28	324

Incorporation By Reference (useable if box 5b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

CORRESPONDENCE ADDRESS

Kimton N. Eng, Esq. Dorsey & Whitn y LLP

1420 Fifth Avenue, Suite 3400 Seattle, Washington 98101-4010 (206) 903-8800 phone / (206) 903-8820 fax Customer No. 27,076

TYPED or PRINTED NAME Kimton N. Eng.

X

REGISTRATION NO. 43,605

SIGNATURE_

October 15, 2003 Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Guoqing Chen Attorney Docket No.: 500990.03 (30013/US/2)

Filed: October 15, 2003

Title : STRUCTURE AND METHOD FOR TRANSVERSE FIELD ENHANCEMENT

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL 990358694 US, on October 15, 2003, addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,
DORSEY & WHITNEY LLP

/ for West Courier

KNE:asw

Enclosures:

Postcard

Check

PTO/SB/05 Utility Patent Application Transmittal

Application Data Sheet

Fee Transmittal Sheet (+ copy)

General Authorization

Preliminary Amendment

Specification, Claims, Abstract (27 pages)

13 Sheets of Drawings (Figures 1A-6)

Copy of Declaration

Copy of Election and Power of Attorney

Information Disclosure Statement and Form PTO-1449

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Guoqing Chen Attorney Docket No.: 500990.03 (30013/US/2)

Filed : Concurrently Herewith

Title : STRUCTURE AND METHOD FOR TRANSVERSE FIELD ENHANCEMENT

GENERAL AUTHORIZATION

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

With respect to the above-identified application, the Commissioner is authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required and to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time and to charge any fees which may be required during the course of prosecution, or credit any overpayment, to Deposit Account No. 50-1266.

Date October 15, 2003

Kimton N. Eng

Registration No. 43,605

KNE:asw

1420 Fifth Avenue, Suite 3400 Seattle, WA 98101-4010 (206) 903-8800 Fax (206) 903-8820

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		Complete if Known	
	Express Mail No.	EL 990358694 US	
CEE TRANSMITTAL SUCET	Filing Date Concurrently Herewith		
FEE TRANSMITTAL SHEET	First Named Inventor	Guoqing Chen	
(FOR FY 2004)	Group Art Unit	Not Yet Assigned	
	Examiner	Not Yet Assigned	
	Atty. Docket Number	500990.03 (30013/US/2)	
METUOD OF DAYMENT (Check One)	ļ	EE CALCULATION (Continued)	
METHOD OF PAYMENT (Check One)	3. ADDITIONAL FEES	EE CALCULATION (Continued)	
1. [X] The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and	Large Entity Small 9	Entity	
1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP	Fee Fee Fee	Fee (\$) Fee Description	e paid
110.1. <u>90 1200</u> , 50poolt/10000111 (1011). <u>50/102 4 11/11/102 221</u>	Code (\$) Code	(\$) Fee Description 65 Surcharge - Late filing fee or oath	9
2. [X] Check Enclosed	1052 50 2052	Surcharge - late provisional filing fee	\$
FEE CALCULATION	1053 130 1053	or cover sheet 130 Non-English specification	
1. BASIC FILING FEE	1812 2,520 1812	2,520 For Filing a Request for ex parte Reexamination	
Large Entity Small Entity	1804 920 1804	Requesting publication of SIR prior	
Fee Fee Fee Fee Code (\$) Fee Description	1805 1,840 1805	to Examiner action Requesting publication of SIR after	
1001 770 2001 385 [X] Utility Filing Fee	1251 110 2251	Examiner action 55 Extension for reply within first month	
1002 340 2002 170 [] Design Filing Fee	1252 420 2252	210 Extension for reply within 2 nd month	
1003 530 2003 265 [] Plant Filing Fee	1253 950 2253	475 Extension for reply within 3 rd month	\$
1004 770 2004 385 [] Reissue Filing Fee	1254 1,480 2254	740 Extension for reply within 4 th month	
1005 160 2005 80 [] Provisional Filing Fee	1255 2,010 2255	1,005 Extension for reply within 5 th month	9
Subtotal (1) \$ <u>770</u>	1401 330 2401	165 Notice of Appeal	9
2. EXTRA CLAIM FEES	1402 330 2402	165 Filing a brief in support of an appeal	\$
Current Claims Prior Extra Fee Fee Paid	1403 290 2403	145 Request for oral hearing	\$
Total 27 - 20 = 7 x \$18 = \$126	1814 110 2814	55 Terminal Disclaimer Fee	\$
Ind. 7 - 3 = 4 x \$86 = \$344	1452 110 2452	55 Petition to revive – unavoidable	
Multiple Dependent Claims x \$ = \$	1453 1,330 2453	665 Petition to revive – unintentional	
Subtotal (2) <u>\$470</u>	1501 1,330 2501	665 Utility/Reissue issue fee	
Large Entity Small Entity	1502 480 2502	240 Design issue fee	
Fee Fee Fee Fee Fee Description	1503 640 2503	320 Plant issue fee	
Code (\$) Code (\$) Fee Description	1460 130 1460	130 Petitions to the Commissioner	
1201 86 2201 43 Independent claims in excess of 3	1807 50 1807 1806 180 1806	50 Processing fee under 37 CFR 1.17(q) 180 Submission of IDS	
1203 290 2203 145 Multiple dependent Claim		Recording each patent assignment	
1204 86 · 2204 43 Reissue independent claims over original patent	8021 40 8021	40 per property (times number of properties)	\$
1205 18 2205 9 Reissue claims in excess of 20 and over original patent	1801 770 2801	385 Request for Continued Examination (RCE)	\$
	Other fee (specify)		\$
		Subtotal (3)	\$ 0

Submitted by:

Name: Kimton N. Eng Reg. No.: 43,605 Telephone: (206) 903-8800

Date: October 15,2003

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